

# Rivaroxaban in Patients with Heart Failure, Sinus Rhythm, and Coronary Disease

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# Declaration of interest

- Consulting/Royalties/Owner/ Stockholder of a healthcare company (Steering committee Janssen and Bayer)

# Oversight Committees

| Steering Committee Members                                                                                                                                                                                             | Independent Data Monitoring Committee Members                                                             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| <p>Faiez Zannad, Barry Greenberg,<br/>Co-Chairs</p> <p>Stefan D. Anker, William M. Byra, John G.F. Cleland, Mihai Gheorghiade (deceased), Carolyn S.P. Lam, Mandeep R. Mehra, James Neaton, Dirk J. van Veldhuisen</p> | <p>W. Douglas Weaver, Henry J. Dargie, Marc Klapholz, Bertram Pitt, Stuart J. Pocock, Yoshihiko Seino</p> |

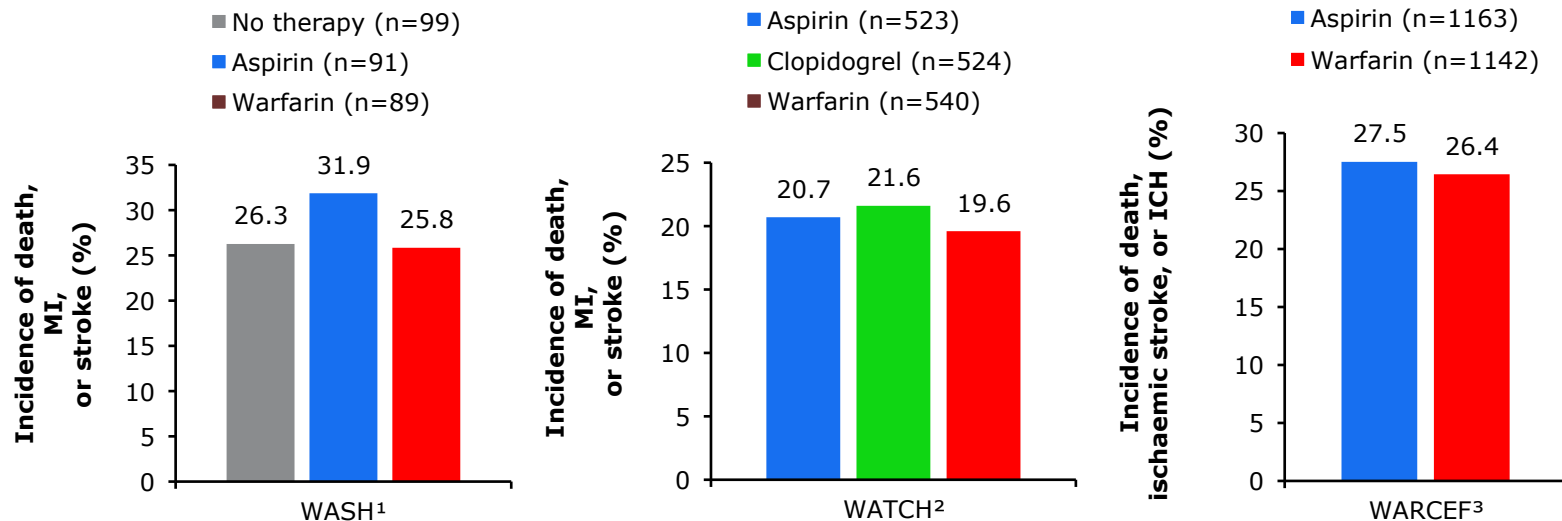
# Background and Rationale (1/4)

- Despite the remarkable progress in treating chronic HFrEF, following an episode of worsening chronic heart failure, rates of readmission and death remain high.<sup>1,2</sup>
- Trials in worsening HF of a large number of therapies targeting a variety of mechanisms have failed so far to improve outcome.
- Activation of thrombin-related pathways may contribute to disease progression by inducing inflammation, endothelial dysfunction, and arterial and venous thrombosis.<sup>3</sup>

1. Maggioni AP, et al. *Eur J Heart Fail.* 2013.
2. Solomon SD, et al. *Circulation.* 2007.
3. Borisssoff JI, et al. *Cardiovas Res.* 2009.

# Background and Rationale (2/4)

Warfarin has not improved outcomes for patients with HFrEF who are in sinus rhythm, and is associated with an increase in bleeding complications.



1. Cleland JGF, et al. *Am Heart J*. 2004.
2. Massie BM, et al. *Circulation*. 2009
3. Homma S et al, *N Engl J Med*. 2012.
4. Zannad F, et al. *Eur J Heart Fail*. 2015;17:735–742.

# Background and Rationale (3/4)

- Unlike warfarin, rivaroxaban directly targets thrombin generation
- In doses of 10 to 20 mg daily, approved for
  - Prevention and treatment of venous thromboembolism, and
  - the prevention of stroke or systemic embolism in patients with AF.
- Lower doses of rivaroxaban (2.5 mg twice daily), in combination with antiplatelet agents, have been found to reduce cardiovascular mortality, MI, and stroke
  - in patients with acute coronary syndromes (ATLAS ACS TIMI 51)
  - or stable coronary artery disease (COMPASS).

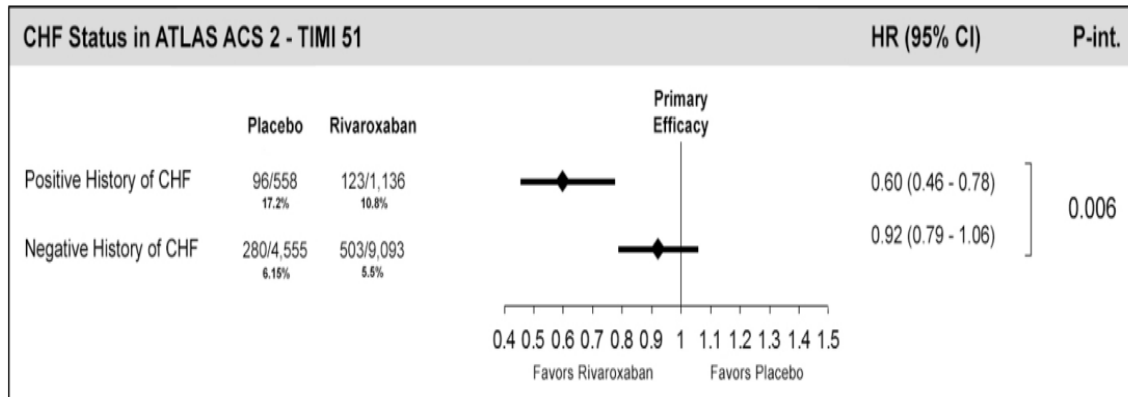
# Background and Rationale (4/4)

Rivaroxaban significantly reduced morbidity and mortality in patients with history of HF and

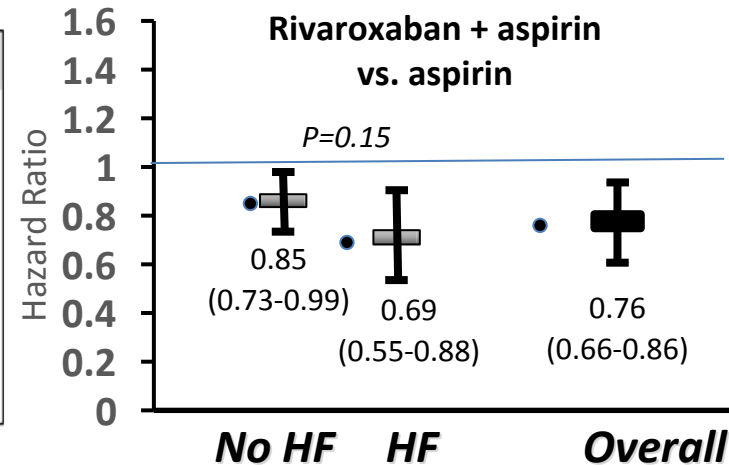
## Recent ACS ATLAS ACS 2-TIMI 51

Figure 1.

Primary Efficacy Endpoint among Subjects with History of CHF vs. Patient Without Prior History of CHF



## Chronic CAD COMPASS



# Objectives

The COMMANDER HF trial was designed to test the hypothesis that, compared with placebo, rivaroxaban 2.5 mg twice daily added to background antiplatelet therapy could reduce rates of death and cardiovascular events in patients with recent worsening of chronic HF, reduced ejection fraction, CAD, and no AF.



# Inclusion and Exclusion Criteria

| Key Inclusion Criteria                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Key Exclusion Criteria                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"><li>• Chronic HF (&gt;3mths) with reduced LVEF (<math>\leq 40\%</math>)</li><li>• Within 21 days after an episode of hospitalization for worsening HF</li><li>• Elevated plasma BNP (<math>\geq 200</math> pg/mL) or NT-proBNP (<math>\geq 800</math> pg/mL) during the index event</li><li>• CAD (Hx MI, Revasc, angiogram, ECG+Echo)</li><li>• Receiving appropriate guidelines medical treatment<sup>†</sup></li><li>• No anticoagulation</li></ul> | <ul style="list-style-type: none"><li>• Bleeding risk, AF, acute MI</li><li>• Planned cardiac surgery within 28 days (eg, PCIs and EP devices)</li><li>• History of severe valvular disease, chronic episodes of ventricular tachycardia, severe peptic ulcer disease, or HIV</li><li>• eGFR &lt;20 mL/min</li><li>• Prior stroke (within 90 days)</li><li>• Anemia (Hb&lt;8 g/dL) or severe thrombocytopenia (platelets &lt;50,000/<math>\mu</math>L)</li></ul> |

<sup>†</sup>The dose of ASA was to be 100 mg or less per day, unless not clinically appropriate.  
Dual antiplatelet therapy (i.e., ticagrelor, clopidogrel, ticlopidine, prasugrel) was allowed where indicated

# Study Outcomes

## Primary Efficacy Outcome

- Composite of all-cause mortality, MI, or stroke following an index event

## Principal Safety Outcome

- Composite of fatal bleeding, or bleeding into a critical space (intracranial, intraspinal, intraocular, pericardial, intra-articular, retroperitoneal, intramuscular with compartment syndrome) with a potential for permanent disability

## Secondary Efficacy Outcomes

- Composite of CV mortality or rehospitalization for worsening of HF
- CV mortality
- Rehospitalization for worsening of HF
- Rehospitalization for CV events

## Other Safety Outcomes

- Bleeding events requiring hospitalization
- Major bleeding events using the International Society on Thrombosis and Haemostasis (ISTH) bleeding criteria

# Study Design

## Screening Period

≤21 days of  
Index Event



N=5000

## Double Blind Treatment Phase

Rivaroxaban 2.5 mg bid +  
standard of care therapy

q 12 weeks

Placebo bid +  
standard of care therapy

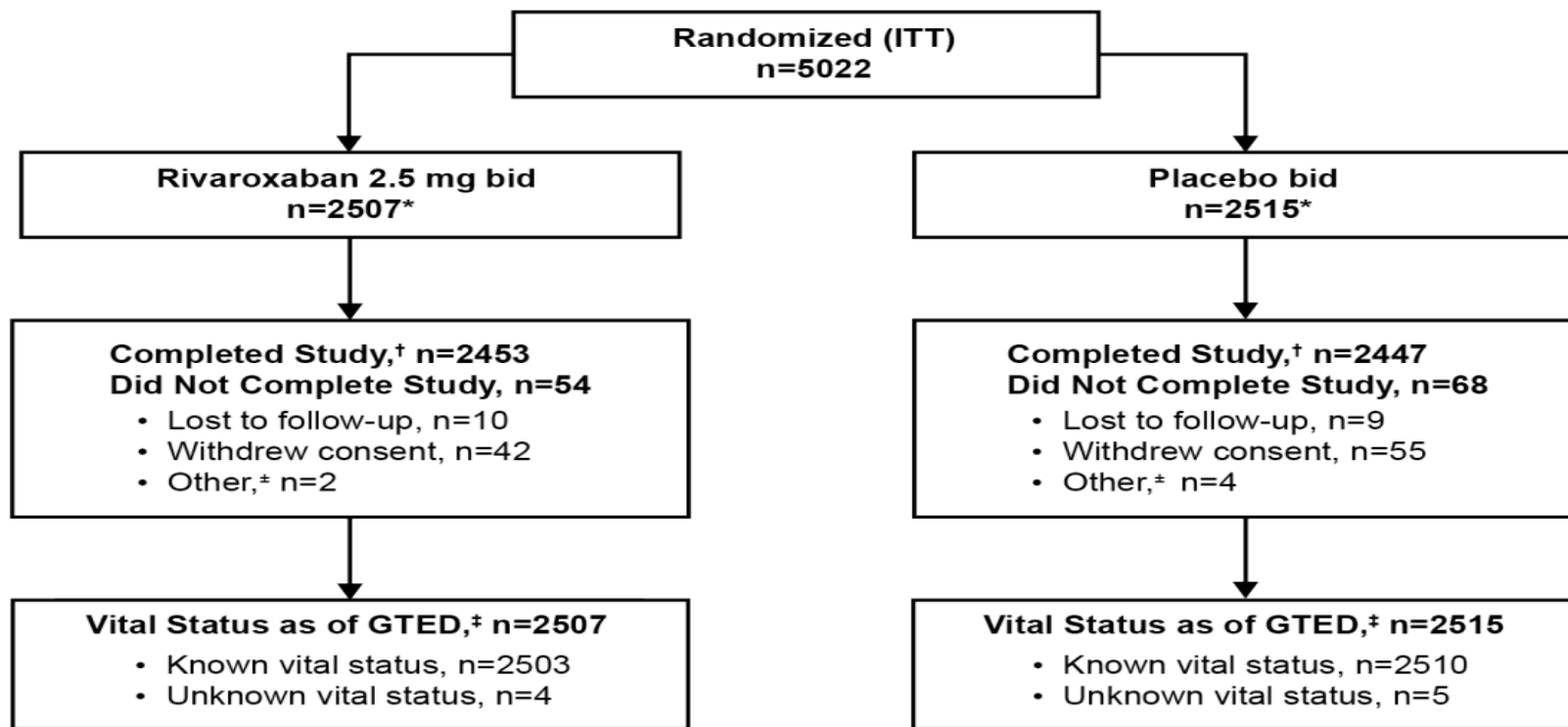
## Follow-Up After GTED

30±15  
days

End of Study  
Visit

Early Permanent  
Study Drug  
Discontinuation  
Continue Follow  
-Up

Global Treatment  
End Date  
(GTED)



**MEDIAN FOLLOW UP TIME 21.1 MONTHS**

\*Three patients, 1 in the rivaroxaban 2.5 mg bid group and 2 in the placebo group, were randomized twice; only the first randomization was counted.

†Completed study: patients who died or were followed according to the visit schedule until the End of Study Visit.

‡Other category primarily includes patients at sites in Ukraine and Turkey affected by local military action.

‡Vital status was collected as of the GTED (March 5, 2018), which included all sources allowed by local regulations.

Abbreviation: GTED = Global Treatment End Date.

# Key Baseline Characteristics (ITT)

| Characteristic                            | Rivaroxaban<br>(N=2507) | Placebo<br>(N=2515) |
|-------------------------------------------|-------------------------|---------------------|
| Age, yr                                   | 66.5±10.1               | 66.3±10.3           |
| Female sex, n (%)                         | 551 (22.0)              | 599 (23.8)          |
| Race, n (%)                               |                         |                     |
| White                                     | 2063 (82.3)             | 2065 (82.1)         |
| Black or African American                 | 29 (1.2)                | 36 (1.4)            |
| Asian                                     | 362 (14.4)              | 365 (14.5)          |
| Other                                     | 53 (2.1)                | 49 (1.9)            |
| Region, n (%)                             |                         |                     |
| Eastern Europe                            | 1610 (64.2)             | 1614 (64.2)         |
| North America                             | 74 (3.0)                | 75 (3.0)            |
| Asia Pacific                              | 367 (14.6)              | 366 (14.6)          |
| Latin America                             | 229 (9.1)               | 229 (9.1)           |
| Western Europe and South Africa           | 227 (9.1)               | 231 (9.2)           |
| Body mass index (kg/m <sup>2</sup> )      | 27.6±5.1                | 27.8±5.3            |
| eGFR (mL/min/1.73 m <sup>2</sup> ), n (%) |                         |                     |
| <30                                       | 81 (3.2)                | 82 (3.3)            |
| 30 to <60                                 | 884 (35.3)              | 898 (35.7)          |
| 60 to <90                                 | 1101 (43.9)             | 1137 (45.2)         |
| ≥90                                       | 441 (17.6)              | 398 (15.8)          |

# Key Baseline Characteristics (ITT) (cont.)

| Characteristic                                          | Rivaroxaban<br>(N=2507) | Placebo<br>(N=2515)    |
|---------------------------------------------------------|-------------------------|------------------------|
| <b>Clinical features of HF</b>                          |                         |                        |
| <b>BNP (pg/mL) (IQR)</b>                                | 702.0 (403.4-1237.0)    | 695.5 (380.0-1266.3)   |
| <b>NT-proBNP (pg/mL) (IQR)</b>                          | 2840.0 (1537.0-6394.0)  | 2900.0 (1520.0-6270.5) |
| <b>D-dimer (ug/L) (IQR)</b>                             | 360 (215-680)           | 360 (215-650)          |
| <b>Ejection fraction (IQR) (%)</b>                      | 35 (28-38)              | 34 (27-38)             |
| <b>New York Heart Association classification, n (%)</b> |                         |                        |
| I                                                       | 80 (3.2)                | 69 (2.7)               |
| II                                                      | 1122 (44.8)             | 1096 (43.6)            |
| III                                                     | 1208 (48.2)             | 1254 (49.9)            |
| IV                                                      | 96 (3.8)                | 96 (3.8)               |
| <b>Medical history, n (%)</b>                           |                         |                        |
| <b>MI</b>                                               | 1911 (76.2)             | 1892 (75.2)            |
| Stroke                                                  | 208 (8.3)               | 245 (9.7)              |
| Diabetes                                                | 1024 (40.8)             | 1028 (40.9)            |
| Hypertension                                            | 1897 (75.7)             | 1886 (75.0)            |

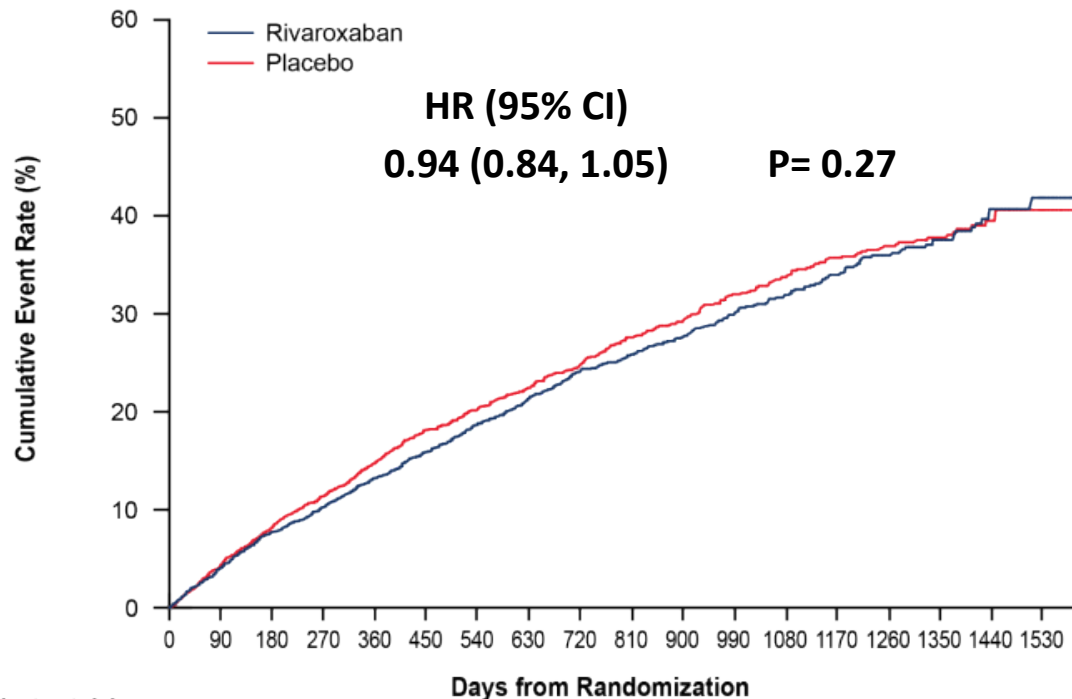
# Baseline Therapies (ITT)

|                                                                                    | Rivaroxaban<br>(N=2507) | Placebo<br>(N=2515) |
|------------------------------------------------------------------------------------|-------------------------|---------------------|
| Diuretic use, n (%)                                                                | 2495 (99.5)             | 2504 (99.6)         |
| Angiotensin-converting enzyme inhibitor use, n (%)                                 | 1813 (72.3)             | 1779 (70.7)         |
| Angiotensin receptor blocker use, n (%)                                            | 544 (21.7)              | 541 (21.5)          |
| Angiotensin receptor-neprilysin inhibitor use, n (%)                               | 18 ( 0.7)               | 23 ( 0.9)           |
| Angiotensin-converting enzyme inhibitor or angiotensin receptor blocker use, n (%) | 2346 (93.6)             | 2314 (92.0)         |
| Nitrate use, n (%)                                                                 | 528 (21.1)              | 480 (19.1)          |
| Hydralazine use, n (%)                                                             | 24 ( 1.0)               | 31 ( 1.2)           |
| Beta blocker use, n (%)                                                            | 2300 (91.7)             | 2342 (93.1)         |
| Mineralocorticoid Receptor Antagonist use, n (%)                                   | 1918 (76.5)             | 1922 (76.4)         |
| Digoxin use, n (%)                                                                 | 223 ( 8.9)              | 210 ( 8.3)          |
| Aspirin use, n (%)                                                                 | 2329 (92.9)             | 2346 (93.3)         |
| Thienopyridine use, n (%)                                                          | 1043 (41.6)             | 972 (38.6)          |
| Aspirin vs. dual antiplatelet use, n (%)                                           |                         |                     |
| Aspirin alone                                                                      | 1422 (56.7)             | 1507 (59.9)         |
| Thienopyridine alone                                                               | 136 ( 5.4)              | 133 ( 5.3)          |
| Dual antiplatelet therapy                                                          | 907 (36.2)              | 839 (33.4)          |
| None                                                                               | 42 ( 1.7)               | 36 ( 1.4)           |
| Cardiac Devices                                                                    | 345 (13.8)              | 316 (12.6)          |

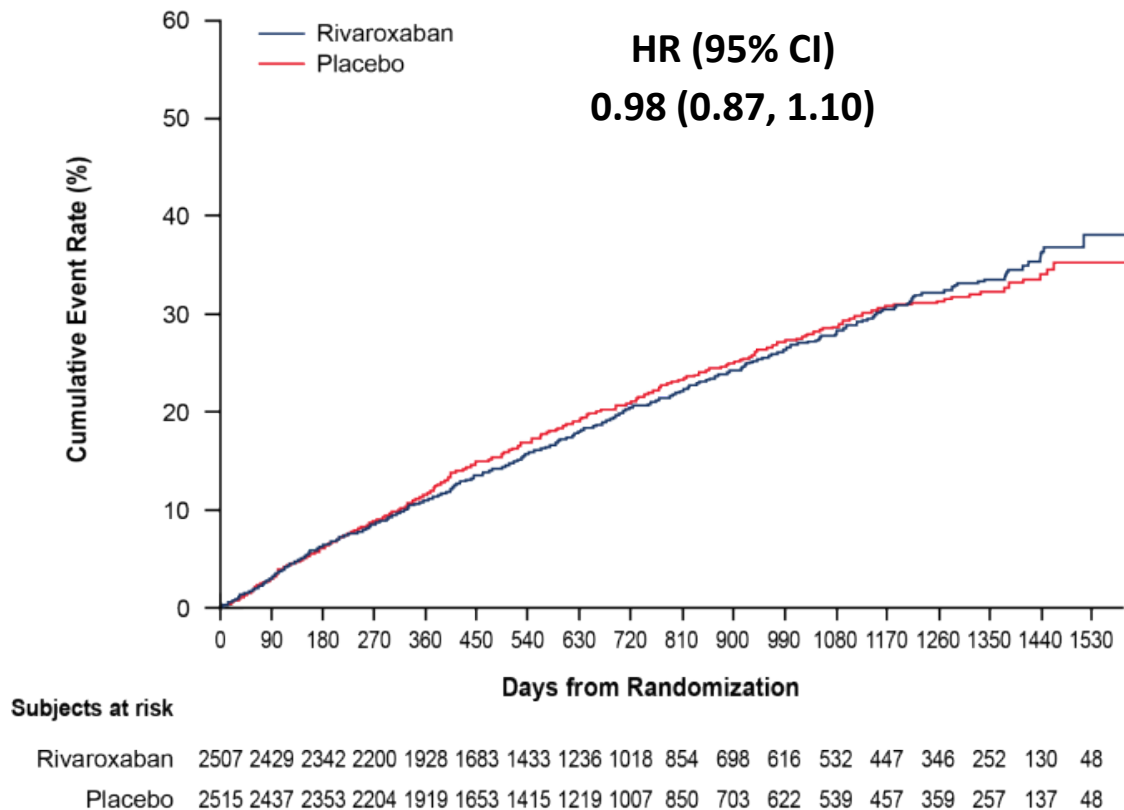
# Results



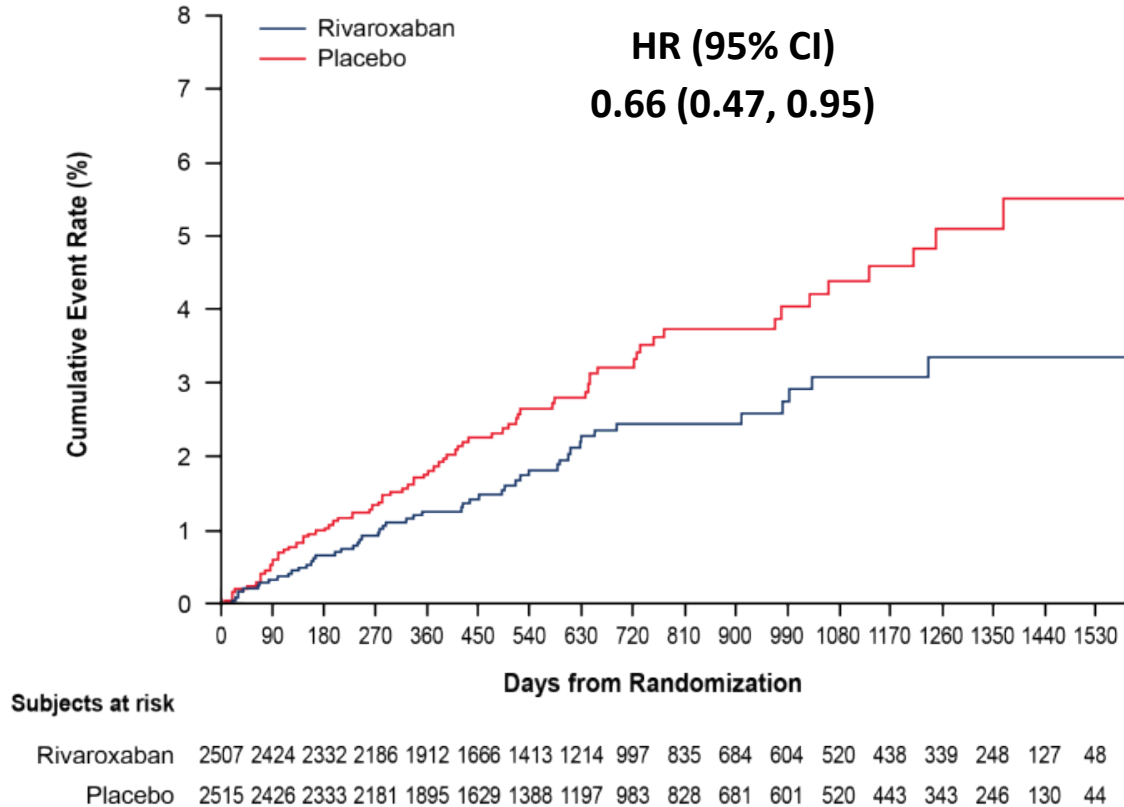
# Primary Efficacy Outcome (ITT, All-cause mortality, MI, or stroke)



# All-Cause Mortality (ITT)



# Stroke (ITT)

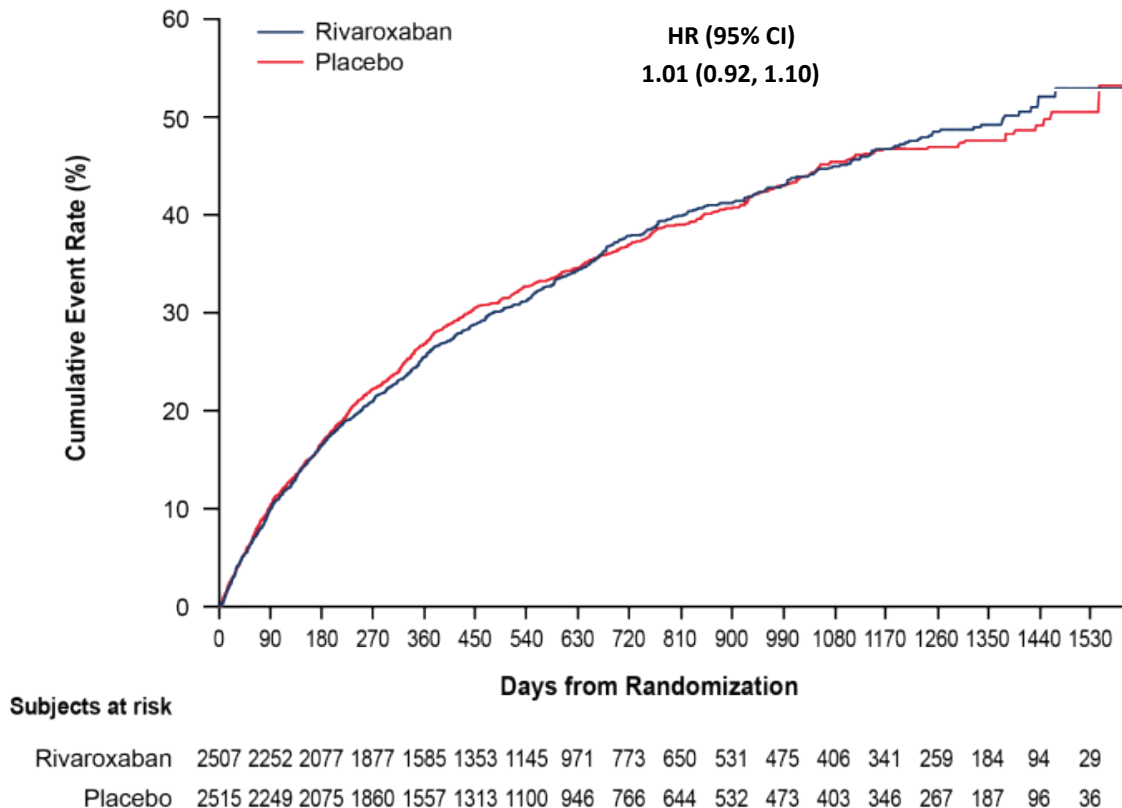


# Primary Efficacy Outcome & Components (ITT)

|                                                          | Rivaroxaban<br>(N=2507) |                            | Placebo<br>(N=2515) |                            | Rivaroxaban vs.<br>Placebo |                     |
|----------------------------------------------------------|-------------------------|----------------------------|---------------------|----------------------------|----------------------------|---------------------|
| Outcomes                                                 | n (%)                   | Event Rate/<br>(100 pt-yr) | n (%)               | Event Rate/<br>(100 pt-yr) | HR (95% CI)                | Log-rank<br>P value |
| Primary efficacy<br>(all-cause mortality, MI, or stroke) | 626 (25.0)              | 13.44                      | 658 (26.2)          | 14.27                      | 0.94 (0.84, 1.05)          | 0.27                |
| All-cause mortality                                      | 546 (21.8)              | 11.41                      | 556 (22.1)          | 11.63                      | 0.98 (0.87, 1.10)          | -                   |
| MI                                                       | 98 (3.9)                | 2.08                       | 118 (4.7)           | 2.52                       | 0.83 (0.63, 1.08)          | -                   |
| Stroke                                                   | 51 (2.0)                | 1.08                       | 76 (3.0)            | 1.62                       | 0.66 (0.47, 0.95)          | -                   |

Note: HR (95% CI): Hazard ratios (95% confidence interval) are from a Cox proportional hazards model stratified by region with treatment assignment as the only effect.

# Secondary Efficacy Outcome (CV Death or Rehospitalization for Worsening of HF) (ITT)



# Secondary and Exploratory Efficacy Outcomes (ITT)

|                                         | Rivaroxaban |                            | Placebo    |                            | Rivaroxaban vs. Placebo |
|-----------------------------------------|-------------|----------------------------|------------|----------------------------|-------------------------|
| Outcomes                                | n (%)       | Event Rate/<br>(100 pt-yr) | n (%)      | Event Rate/<br>(100 pt-yr) | HR (95% CI)             |
| CV death or RHHF                        | 932 (37.2)  | 23.32                      | 929 (36.9) | 23.46                      | 0.99 (0.91, 1.09)       |
| CV death                                | 453 (18.1)  | 9.46                       | 476 (18.9) | 9.96                       | 0.95 (0.84, 1.08)       |
| RHHF                                    | 689 (27.5)  | 17.24                      | 691 (27.5) | 17.45                      | 0.98 (0.89, 1.09)       |
| RHCV                                    | 543 (21.7)  | 13.30                      | 572 (22.7) | 14.04                      | 0.95 (0.84, 1.07)       |
|                                         |             |                            |            |                            |                         |
| All-cause mortality or RHHF (composite) | 993 (39.6)  | 24.84                      | 973 (38.7) | 24.57                      | 1.01 (0.92, 1.10)       |
|                                         |             |                            |            |                            |                         |
| Symptomatic deep vein thrombosis        | 5 (0.2)     | 0.10                       | 7 (0.3)    | 0.15                       | 0.71 (0.23, 2.24)       |
| Symptomatic pulmonary embolism          | 11 (0.4)    | 0.23                       | 9 (0.4)    | 0.19                       | 1.23 (0.51, 2.96)       |

# Safety Outcome

|                                                                       | Rivaroxaban<br>(N=2499) |                            | Placebo<br>(N=2509) |                            | Rivaroxaban vs.<br>Placebo | P value             |
|-----------------------------------------------------------------------|-------------------------|----------------------------|---------------------|----------------------------|----------------------------|---------------------|
| Outcomes                                                              | n (%)                   | Event Rate/<br>(100 pt-yr) | n (%)               | Event Rate/<br>(100 pt-yr) | HR (95% CI)                | Log-rank<br>P value |
| <b>Principal safety (composite)</b>                                   | <b>18 (0.7)</b>         | <b>0.44</b>                | <b>23 (0.9)</b>     | <b>0.55</b>                | <b>0.80 (0.43, 1.49)</b>   | <b>0.484</b>        |
| Fatal bleeding                                                        | 9 (0.4)                 | 0.22                       | 9 (0.4)             | 0.22                       | 1.03 (0.41, 2.59)          | 0.951               |
| Bleeding in critical space with<br>potential for permanent disability | 13 (0.5)                | 0.32                       | 20 (0.8)            | 0.48                       | 0.67 (0.33, 1.34)          | 0.253               |
| <b>ISTH major bleeding</b>                                            | <b>82 (3.3)</b>         | <b>2.04</b>                | <b>50 (2.0)</b>     | <b>1.21</b>                | <b>1.68 (1.18, 2.39)</b>   | <b>0.003</b>        |
| <b>ISTH: HGB decreases <math>\geq 2</math>g/dL</b>                    | <b>55 (2.2)</b>         | <b>1.37</b>                | <b>30 (1.2)</b>     | <b>0.73</b>                | <b>1.87 (1.20, 2.91)</b>   | <b>0.005</b>        |
| <b>ISTH: transfusions <math>\geq 2</math> Units</b>                   | <b>31 (1.2)</b>         | <b>0.77</b>                | <b>18 (0.7)</b>     | <b>0.43</b>                | <b>1.74 (0.98, 3.12)</b>   | <b>0.058</b>        |
| ISTH: critical bleeding sites                                         | 25 (1.0)                | 0.62                       | 23 (0.9)            | 0.56                       | 1.12 (0.63, 1.97)          | 0.699               |
| ISTH: fatal outcome                                                   | 3 (0.1)                 | 0.07                       | 7 (0.3)             | 0.17                       | 0.45 (0.12, 1.72)          | 0.228               |
| Bleeding requiring hospitalization                                    | 61 (2.4)                | 1.52                       | 48 (1.9)            | 1.16                       | 1.30 (0.89, 1.90)          | 0.170               |

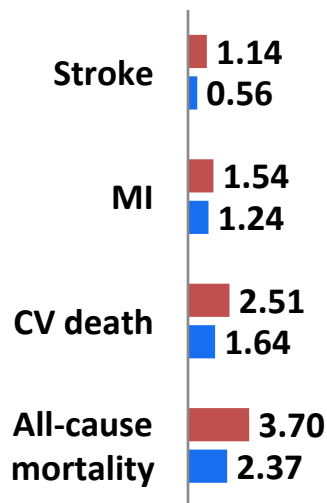
# Conclusion (1/2)

In patients with recent worsening of chronic HF and reduced ejection fraction who also have underlying CAD and are not in AF, low-dose rivaroxaban, when added to guideline-based therapy, does not improve the composite of all-cause mortality, MI, or stroke, nor does it favorably influence HF rehospitalization



## COMPASS

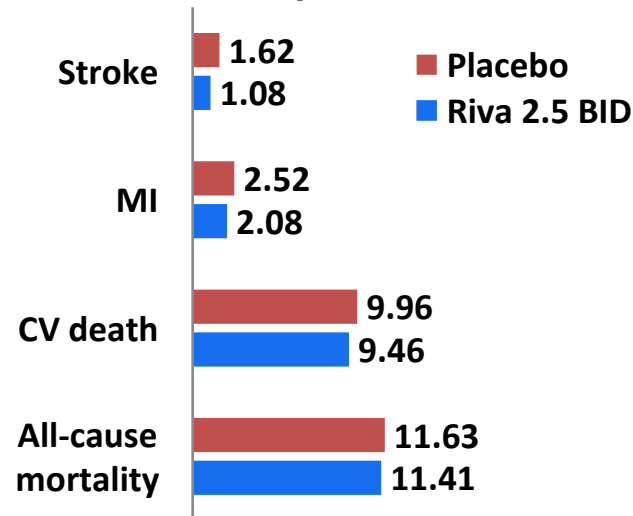
### Chronic Stable HF subgroup



Event rate for 100pt-yr

## COMMANDER HF

### Post HF hospitalisation



- COMMANDER HF enrolled HF patients at high risk, after recent HF hospitalization.
- It is likely that in this specific population, HF deaths, rather than deaths mediated by atherothrombotic events, contributed to a substantial proportion of all deaths.



The NEW ENGLAND  
JOURNAL of MEDICINE

ORIGINAL ARTICLE

## Rivaroxaban in Patients with Heart Failure, Sinus Rhythm, and Coronary Disease

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Dirk J. van Veldhuisen, M.D., Ph.D., and Barry Greenberg, M.D., for the  
COMMANDER HF Investigators†

The study was supported by Janssen.

We thank all the patients, investigators, and site staff for participating in this trial and the entire Janssen Cross Functional Trial Team for their contributions to the statistical monitoring and analyses and the protocol development, safety monitoring, data management, and operational implementation of the trial.

# Back Up Slides

# Evidence of Coronary Artery Disease at Baseline (ITT)

|                                                                            | Rivaroxaban<br>N= 2507 | Placebo<br>N= 2515     | Total<br>N= 5022   |
|----------------------------------------------------------------------------|------------------------|------------------------|--------------------|
| <b>Evidence of Coronary Artery Disease</b>                                 | <b>2505 (99.9)</b>     | <b>2514 (&gt;99.9)</b> | <b>5019 (99.9)</b> |
| Angiography (At least 50% $\geq$ 1 Artery),<br>n (%)                       | 1472 (58.7)            | 1510 (60.0)            | 2982 (59.4)        |
| History of PCI (with or without Stent),<br>n (%)                           | 1280 (51.1)            | 1303 (51.8)            | 2583 (51.4)        |
| History of Prior CABG, n (%)                                               | 479 (19.1)             | 516 (20.5)             | 995 (19.8)         |
| Pathologic Q Waves on ECG<br>w/corresponding Wall Motion on Echo,<br>n (%) | 865 (34.5)             | 879 (35.0)             | 1744 (34.7)        |
| <b>Previous Myocardial Infarction</b>                                      | <b>1911 (76.2)</b>     | <b>1892 (75.2)</b>     | <b>3803 (75.7)</b> |

Note: Intent-to-Treat Analysis Set includes all randomized unique subjects who have a signed valid informed consent.

Note: Percentages are calculated with the number of subjects in each treatment group as denominator.

Note: A subject may appear in more than one category and the same subject is counted only once in a category.

Abbreviations: CABG - coronary artery bypass graft; ECG - electrocardiogram; PCI - percutaneous coronary intervention.

# Treatment Disposition

## (Safety Analysis Set)

|                                                                                                          | Rivaroxaban<br>N= 2499<br>n (%) | Placebo<br>N= 2509<br>n (%) | Total<br>N= 5008<br>n (%) |
|----------------------------------------------------------------------------------------------------------|---------------------------------|-----------------------------|---------------------------|
| <b>Total No. Subjects Who Completed the Double-Blind Treatment Phase</b>                                 | <b>1808 (72.3)</b>              | <b>1884 (75.1)</b>          | <b>3692 (73.7)</b>        |
| On Study Drug at GTED                                                                                    | 1518 (60.7)                     | 1570 (62.6)                 | 3088 (61.7)               |
| Died Within 7 Days of the Last Dose of Study Drug*                                                       | 290 (11.6)                      | 314 (12.5)                  | 604 (12.1)                |
| <b>Total No. Subjects Who Did Not Complete the Double-Blind Treatment Phase</b>                          | <b>691 (27.7)</b>               | <b>625 (24.9)</b>           | <b>1316 (26.3)</b>        |
| Early Termination Study Medication (Excludes Subjects Who Died Within 7 Days of Last Dose of Study Drug) | 668 (26.7)                      | 605 (24.1)                  | 1273 (25.4)               |
| Adverse Event                                                                                            | 146 ( 5.8)                      | 119 ( 4.7)                  | 265 ( 5.3)                |
| Atrial Fibrillation                                                                                      | 124 ( 5.0)                      | 117 ( 4.7)                  | 241 (4.8)                 |
| Bleeding Event                                                                                           | 86 ( 3.4)                       | 41 ( 1.6)                   | 127 (2.5)                 |
| Investigator Choice                                                                                      | 28 ( 1.1)                       | 17 ( 0.7)                   | 45 (0.9)                  |
| Outcome Event                                                                                            | 71 ( 2.8)                       | 95 ( 3.8)                   | 166 (3.3)                 |
| Prohibited Medication                                                                                    | 20 ( 0.8)                       | 28 ( 1.1)                   | 48 ( 1.0)                 |
| Subject Choice                                                                                           | 153 ( 6.1)                      | 146 ( 5.8)                  | 299 ( 6.0)                |
| Other                                                                                                    | 40 ( 1.6)                       | 42 ( 1.7)                   | 82 ( 1.6)                 |
| Died > 7 Days After the Last Dose of Study Drug                                                          | 3 ( 0.1)                        | 2 ( 0.1)                    | 5 ( 0.1)                  |
| Withdrew Consent                                                                                         | 20 ( 0.8)                       | 18 ( 0.7)                   | 38 ( 0.8)                 |

# Early Discontinuation from the Double Blind Treatment Phase by Region (Safety)

| Endpoint                                                 |                                    | Rivaroxaban |             |                             | Placebo |             |                             |
|----------------------------------------------------------|------------------------------------|-------------|-------------|-----------------------------|---------|-------------|-----------------------------|
|                                                          |                                    | J           | N (%)       | Event Rate (100 Pt-Yr) (CI) | J       | N (%)       | Event Rate (100 Pt-Yr) (CI) |
| Early Discontinuation<br>Double Blind Treatment<br>Phase | Overall                            | 2499        | 647 (25.89) | 16.33 (15.09, 17.63)        | 2509    | 551 (21.96) | 13.62 (12.51, 14.81)        |
|                                                          | Asia Pacific                       | 366         | 111 (30.33) | 24.18 (19.89, 29.12)        | 363     | 90 (24.79)  | 19.68 (15.83, 24.19)        |
|                                                          | Eastern Europe                     | 1607        | 340 (21.16) | 11.84 (10.62, 13.17)        | 1613    | 288 (17.85) | 9.82 (8.72, 11.02)          |
|                                                          | Latin America                      | 228         | 58 (25.44)  | 19.98 (15.18, 25.84)        | 229     | 41 (17.9)   | 13.60 (9.76, 18.45)         |
|                                                          | North America                      | 73          | 36 (49.32)  | 35.07 (24.56, 48.55)        | 75      | 38 (50.67)  | 35.81 (25.34, 49.16)        |
|                                                          | Western Europe<br>And South Africa | 225         | 102 (45.33) | 42.44 (34.60, 51.51)        | 229     | 94 (41.05)  | 37.99 (30.70, 46.49)        |

# Incidence of Cardiovascular Deaths (ITT, Up to GTED)

CV Deaths  
n=929 (18.5%)

| <b>Rivaroxaban</b>                                     | <b>453 ( 18.1)</b> |
|--------------------------------------------------------|--------------------|
| MI                                                     | 40 ( 1.6)          |
| Non-Hemorrhagic Stroke                                 | 10 ( 0.4)          |
| ICH                                                    | 3 ( 0.1)           |
| Arteriosclerotic Vascular Disease (Excluding Coronary) | 12 ( 0.5)          |
| CHF Or Cardiogenic Shock                               | 175 ( 7.0)         |
| Directly Related To Revascularization (CABG/PCI)       | 1 (<0.1)           |
| Dysrhythmia                                            | 7 ( 0.3)           |
| PE                                                     | 2 (<0.1)           |
| Sudden Or Unwitnessed                                  | 190 ( 7.6)         |
| Hemorrhage, Not ICH                                    | 2 (<0.1)           |
| Other CV                                               | 11 ( 0.4)          |

| <b>Placebo</b>                                         | <b>476 ( 18.9)</b> |
|--------------------------------------------------------|--------------------|
| MI                                                     | 33 ( 1.3)          |
| Non-Hemorrhagic Stroke                                 | 9 ( 0.4)           |
| ICH                                                    | 7 ( 0.3)           |
| Arteriosclerotic Vascular Disease (Excluding Coronary) | 9 ( 0.4)           |
| CHF Or Cardiogenic Shock                               | 171 ( 6.8)         |
| Directly Related To Revascularization (CABG/PCI)       | 2 (<0.1)           |
| Dysrhythmia                                            | 12 ( 0.5)          |
| PE                                                     | 2 (<0.1)           |
| Sudden Or Unwitnessed                                  | 215 ( 8.5)         |
| Hemorrhage, Not ICH                                    | 6 ( 0.2)           |
| Other CV                                               | 10 ( 0.4)          |

# Bleeding Events Resulting in Early Permanent Discontinuation of Study Drug by Bleeding Site (Safety)

| Bleeding Site                                                       | Rivaroxaban<br>(N=2499)<br>n (%) | Placebo<br>(N=2509)<br>n (%) | Total<br>(N=5008)<br>n (%) |
|---------------------------------------------------------------------|----------------------------------|------------------------------|----------------------------|
| <b>Total No. subjects with the specified type of bleeding event</b> | <b>88 (3.5)</b>                  | <b>46 (1.8)</b>              | <b>134 (2.7)</b>           |
| Bleeding associated with non-cardiac surgery                        | 0                                | 1 (<0.1)                     | 1 (<0.1)                   |
| Epistaxis                                                           | 16 (0.6)                         | 1 (<0.1)                     | 17 (0.3)                   |
| GI-Lower                                                            | 7 (0.3)                          | 4 (0.2)                      | 11 (0.2)                   |
| GI-Upper (hematemesis or melena)                                    | 12 (0.5)                         | 9 (0.4)                      | 21 (0.4)                   |
| Gingival                                                            | 4 (0.2)                          | 0                            | 4 (0.1)                    |
| Hematoma                                                            | 1 (<0.1)                         | 0                            | 1 (<0.1)                   |
| Hemoptysis                                                          | 4 (0.2)                          | 2 (0.1)                      | 6 (0.1)                    |
| Increased or prolonged menstrual or abnormal vaginal bleeding       | 1 (<0.1)                         | 0                            | 1 (<0.1)                   |
| Intracranial                                                        | 12 (0.5)                         | 17 (0.7)                     | 29 (0.6)                   |
| Intraocular, other than sub-conjunctival                            | 0                                | 2 (0.1)                      | 2 (<0.1)                   |
| Macroscopic (gross) hematuria                                       | 9 (0.4)                          | 3 (0.1)                      | 12 (0.2)                   |
| Non-observed site                                                   | 6 (0.2)                          | 2 (0.1)                      | 8 (0.2)                    |
| Puncture site                                                       | 1 (<0.1)                         | 0                            | 1 (<0.1)                   |
| Rectal                                                              | 7 (0.3)                          | 3 (0.1)                      | 10 (0.2)                   |
| Skin (ecchymosis other than instrumented site)                      | 4 (0.2)                          | 2 (0.1)                      | 6 (0.1)                    |
| Subconjunctival or other ocular                                     | 3 (0.1)                          | 0                            | 3 (0.1)                    |
| --Other--                                                           | 1 (<0.1)                         | 0                            | 1 (<0.1)                   |
| Urethra                                                             | 1 (<0.1)                         | 0                            | 1 (<0.1)                   |



# Incidence of ISTH Major, Non-Major Clinically Relevant and Minimal Bleeding Events (Japan Subjects Only) (Safety, On-Treatment)

| Bleeding Site                                                | Rivaroxaban<br>(N=133)<br>n (%) | Placebo<br>(N=132)<br>n (%) | Total<br>(N=265)<br>n (%) |
|--------------------------------------------------------------|---------------------------------|-----------------------------|---------------------------|
| Total No. subjects with the specified type of bleeding event | 76 (57.1)                       | 54 (40.9)                   | 130 (49.1)                |
| ISTH major bleeding event                                    | 16 (12.0)                       | 8 (6.1)                     | 24 (9.1)                  |
| Non-major clinically relevant bleeding event                 | 18 (13.5)                       | 17 (12.9)                   | 35 (13.2)                 |
| Minimal bleeding event                                       | 62 (46.6)                       | 44 (33.3)                   | 106 (40.0)                |

Note: Safety analysis set includes all intent-to-treat subjects who received at least one dose of study drug.

Note: On-Treatment is the observation period from the first dose of the study drug to 2 days after the last dose of the study drug, inclusively.

Note: Percentages are calculated with the number of subjects in each treatment group as denominator.

Note: A subject may appear in more than one category and the same subject is counted only once in a category.

Note: Non-major clinically relevant and minimal bleeding events were recorded only in Japan subjects.